



**LOW CARBON**  
CONTRACTS COMPANY

## **Guidance: Operational Conditions Precedent (OCPs)**

**September 2016**

**Version 1**

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# Version Control Table

## Version 1

<b>Version number amendment relates to</b>	<b>Page and para</b>	<b>Version number amendment implemented in</b>	<b>Page and para</b>	<b>Amendment</b>	<b>Date</b>

# 1 Introduction

- 1.1 This guidance provides you with an indication of the current approach and expectations of Low Carbon Contracts Company Ltd (“LCCC”) in relation to the Operational Conditions Precedent (“OCPs”) at Schedule 1, Part B, paragraph 2 of the Contract for Difference (“CfD”).
- 1.2 This guidance should not be viewed as in any way restricting us in the nature, type and/or amount of evidence, information and documentation we will require to satisfy ourselves of your fulfilment of the OCPs, nor as to the nature, level and timing of our consideration or reconsideration of the evidence that is provided. We reserve the right at any time to request further or additional evidence, and to review or reconsider the evidence already provided. We further reserve the right to amend this guidance from time to time.
- 1.3 This guidance does not and is not intended to supersede or replace the provisions of the CfD. Readers should not place reliance on this guidance and should refer to the CfD. This guidance does not constitute legal or investment advice and should not be relied upon as such. Generators should consult their professional advisors where they require advice whether legal or otherwise.
- 1.4 Defined terms used in this guidance and not defined herein should be given the meaning provided in the CfD (which is comprised of the CfD Agreement and the Conditions as published by the Department of Energy and Climate Change on 29 August 2014). This guidance is also applicable to Investment Contracts, though Generators with Investment Contracts are advised to review the equivalent clauses in their contracts.

## 2 OCP requirements

- 2.1 The CfD sets out a number of OCPs which must be fulfilled before Generators can issue a Start Date Notice and start to receive payments under the CfD. The OCPs are the Further Conditions Precedent set out in paragraph 2 of Part B of Schedule 1. There is an additional Further Condition Precedent at Clause 5.4 of the Agreement.
- 2.2 Condition 3.6 of the CfD provides that:

*“The Generator shall use reasonable endeavours to fulfil or procure the fulfilment of the Operational Conditions Precedent as soon as reasonable practicable, and in any event before the Longstop Date.”*
- 2.3 The OCPs are set out at paragraphs 2.9 and 2.10 below.

### ***Reports and Operational CP Notice***

- 2.4 Condition 3.8 of the CfD requires the Generator to keep LCCC reasonably informed as to progress towards fulfilment of the OCPs and, in particular, to:
- (A) provide reports (in form and content reasonably satisfactory to LCCC and in accordance with the reasonable requirements of LCCC as to the timing and frequency of such reports) of the progress made in or towards fulfilment of the OCPs, and
  - (B) give LCCC an Operational CP Notice each time the Generator considers that an OCP has been fulfilled. For convenience, a template Operational CP Notice is attached at Annex 1 of this guidance. Each Operational CP Notice must:
    - (i) identify the OCP which the Generator considers to have been fulfilled, and
    - (ii) include such Supporting Information as the Generator considers to be relevant to evidence the fulfilment of the relevant OCP.

### ***Directors' Certificate***

- 2.5 Each Operational CP Notice must be accompanied by a Directors' Certificate certifying that the information contained in, and enclosed with, the Operational CP Notice is true, complete and accurate in all material respects and is not misleading, in each case by reference to the facts and circumstances then existing. For convenience, a template Directors' Certificate is attached at Annex 2 of this guidance.

### ***Operational CP Non-Compliance Notice***

- 2.6 Unless the waiver at paragraph 2.7 below applies, the Generator is required to give an "Operational CP Non-Compliance Notice" to LCCC promptly upon becoming aware:
- (A) of any fact, matter or circumstance which will or is reasonably likely to prevent any of the OCPs from being fulfilled by the Longstop Date; or
  - (B) that any of the OCPs which had previously been notified to LCCC as fulfilled pursuant to Condition 3.8(B) is no longer fulfilled at any time prior to the Start Date.

Each Operational CP Non-Compliance Notice must:

- (i) identify the Affected Operational CP, being the OCP referenced in the Operational CP Non-Compliance notice;
- (ii) specify the reasons why the Affected Operational CP will, or is reasonably likely, not to be fulfilled or is no longer fulfilled;

- (iii) include such Supporting Information as the Generator considers to be relevant to the content of the Operational CP Non –Compliance Notice; and
  - (iv) include details of any remedial action that the Generator is taking or proposes to take.
- 2.7 No Operational CP Non-Compliance Notice needs to be given if the Affected Operational CP has been waived by LCCC in accordance with Condition 3.26.
- 2.8 Each Operational CP Non-Compliance Notice must be accompanied by a Directors' Certificate certifying that the information contained in, and enclosed with, the Operational CP Non-Compliance Notice is true, complete and accurate in all material respects and is not misleading, in each case by reference to the facts and circumstances then existing.

**Operational Conditions Precedent**

2.9 The OCPs which are set out at paragraph 2 of Part B of Schedule 1 of the CfD require:

*“2.1 Delivery to the CfD Counterparty of the following:*

- (A) *written confirmation from the CfD Settlement Services Provider that:*
  - (i) *it has received the CfD Settlement Required Information which is required from the Generator prior to the Start Date; and*
  - (ii) *the Generator has in place the systems and processes which are necessary for the continued provision of the CfD Settlement Required Information;*
- (B) *evidence, in form and content satisfactory to the CfD Counterparty, acting reasonably, that an Installed Capacity of not less than eighty per cent. (80%) of the Installed Capacity Estimate has been Commissioned;*
- (C) *evidence, in form and content satisfactory to the CfD Counterparty, acting reasonably, that the Generator is complying in full with the Metering Compliance Obligations;*
- (D) *a date and time stamped copy of the electrical schematic diagram, certified as being correct and up to date by a director or company secretary of the Generator and showing the locations of the Facility Metering Equipment associated with all assets comprised within the Facility (including details of the type of BSC-approved metering and Communications Equipment installed in compliance with the Metering Compliance Obligation and any relevant MSID/MPAN); and*

(E) *evidence, in form and content satisfactory to the CfD Counterparty, acting reasonably, that all Communications Equipment relating to the Facility Metering Equipment has been satisfactorily installed, commissioned, configured, operational, maintained and tested and is fully compliant with the BSC.*

2.2 *Delivery to the CfD Counterparty of a copy of: (i) a valid Combined Heat and Power Quality Assurance (CHPQA) Certificate; and (ii) a valid CHPQA Guidance Note 44 Certificate, each certified as being correct and up to date by a director of the Generator (provided that this paragraph 2.2 shall apply to the Contract for Difference only if the CHP Qualifying Multiplier is expressed to apply to the Contract for Difference in the CfD Agreement).*

2.3 *The Fuel Measuring and Sampling (FMS) Procedures having been documented and agreed between the CfD Counterparty and the Generator (provided that this paragraph 2.3 shall apply to the Contract for Difference only if the Renewable Qualifying Multiplier is expressed to apply to the Contract for Difference in the CfD Agreement).*

2.4 *The Generator having given the CfD Counterparty not less than three (3) months' notice of the intended Start Date (provided that this paragraph 2.4 shall apply to the Contract for Difference only if the Generator is an Embedded Generator).*

2.5 *The Generator having notified the CfD Counterparty of the counterparty with which it has entered into a Market Supply Agreement and provided a duly executed copy of the same, certified as being correct and up to date by a director or company secretary of the Generator (provided that this paragraph 2.5 shall apply to the Contract for Difference only if the Generator is an Embedded Generator)."*

2.10 Clause 5.4 of the CfD Agreement sets out an additional Further Condition Precedent that is specific to an individual Project's CfD depending on its electricity connection type and generation technology. We recommend that you check your CfD for the specific requirement detailed in Clause 5.4 that is relevant to your Project. Clause 5.4 of the generic CfD Agreement provides that:

"5.4 *The following shall be added as an additional Further Condition Precedent applicable to the Contract for Difference after paragraph 2.5 of Part B (Further Conditions Precedent) of Schedule 1 (Conditions Precedent) to the Conditions:*

"6 *The Generator having delivered to the CfD Counterparty [a certified copy of the Interim Operational Notification issued by the Transmission System Operator under the Grid Code<sup>1</sup>]/[(i) written confirmation from the relevant*

*Licensed Distributor or, if no such confirmation is applicable, evidence (in form and content reasonable satisfactory to the CfD Counterparty) that the Distribution Code compliance process for connection to and export to the Distribution System has been satisfied and (ii) if applicable, the Interim Operational Notification issued by the Transmission System Operator under the Grid Code<sup>2</sup>][For the purpose of this paragraph, pursuant to the “Offshore Electricity Transmission: Decision on implementation of the Generator Commissioning Clause in the Energy Act 2013” dated 2 April 2014, the reference in this paragraph to the Interim Operational Notification shall be to ION B.<sup>3</sup>”*

<sup>1</sup> The generic CfD Agreement includes a drafting note as follows: “Drafting to be retained if the relevant Facility is connected directly to the Transmission System”.

<sup>2</sup> The generic CfD Agreement includes a drafting note as follows: “Drafting to be retained if the relevant Facility is not connected directly to the Transmission System”.

<sup>3</sup> The generic CfD Agreement includes a drafting note as follows: “Drafting to be retained only if the relevant Facility Generation Technology is offshore wind”.

### 3 LCCC Process for OCPs

- 3.1 LCCC strongly encourages Generators to engage with us as early as possible to discuss the requirements for your OCP submissions. You may submit all of the OCPs at the same time or one by one. It may be beneficial to submit some of them together, for example, where the same Supporting Information is being relied on for a number of OCPs, and we encourage you to discuss the optimum process for submission for your Project with your Commercial Manager.
- 3.2 It is the responsibility of the Generator to submit Supporting Information to LCCC which clearly evidences to us that the relevant OCP has been fulfilled. We strongly recommend that you submit your Operational CP Notice and Supporting Information to us in draft form first so that we can comment on it and let you know if we require further Supporting Information before you proceed with the formal submission.
- 3.3 As part of our assessment of the Supporting Information provided with an Operational CP Notice, we need to ensure that the information is a genuine, true and accurate representation of the facts. We therefore consider independent third party certifications or evaluations to be helpful in this respect.
- 3.4 All information submitted should be in English language unless otherwise agreed by us.

- 3.5 LCCC has engaged some external parties to assist with the review of some of the OCPs. Further information on this is set out in Annex 4. Please note that although you may need to liaise directly with some of these external parties to obtain some of the required Supporting Information, the Operational CP Notice, Supporting Information and Directors' Certificate must be submitted directly to LCCC, not to the external parties. We would reiterate our recommendation that you discuss your OCPs with your Commercial Manager early in the process.
- 3.6 When you formally submit the Operational CP Notice, LCCC has 10 Business Days from our receipt of it to send our CP Response Notice to you either confirming you have or have not fulfilled the Operational CP or requesting further Supporting Information.

## 4 Supporting Information

- 4.1 While we are open minded with respect to what Supporting Information can be submitted to evidence that the OCPs have been satisfied, we have set out in the table at Annex 4 and in Annex 5 some guidance as to what types of Supporting Information are likely to satisfy us that an OCP has been fulfilled.
- 4.2 As always, these Annexes set out an indication of our current views but as we will need to review each submission on a case by case and Project specific basis you should not therefore read Annexes 4 and 5 nor any other part of this guidance, as in any way a guarantee as to what is likely to be acceptable to LCCC for the purposes of fulfilling the OCPs and we reserve the right to reject information or request further information.
- 4.3 Please note that this version of the document does not include guidance in relation to the evidence required to satisfy the OCP at Condition 2.1 (B) which requires at least 80% of the Installed Capacity Estimate to be Commissioned. Guidance will be provided in relation to the commissioning requirements for each specific Generation Technology in due course and this guidance document will be updated accordingly.

## Annex 1: Operational CP Notice

To: Low Carbon Contracts Company Limited (the “**CfD Counterparty**”)  
Fleetbank House  
2-6 Salisbury Square  
London EC4Y 8JX

From: [●] (the “**Generator**”)  
**Unique reference number:** [●]

Dated: [●]

### [INVESTMENT CONTRACT/ CONTRACT FOR DIFFERENCE] – OPERATIONAL CP NOTICE

Dear Sirs,

1. We refer to the agreement dated [●] between you as the CfD Counterparty and us as the Generator (the “**Agreement**”). Terms and expressions defined in or incorporated into the Agreement have the same meaning when used in this notice.
2. We further refer you to Condition [3.12(B)/ 3.8(B)].
3. This is an Operational CP Notice.
4. We consider that the following Operational Condition Precedent has been fulfilled:  
Paragraph [●] of Schedule 1 Part B of the Agreement.
5. We enclose Supporting Information which we consider to be relevant to evidence the fulfillment of the Operational Condition Precedent. The Supporting Information comprises **[insert list] or [the information listed in Annex A]**.
6. We enclose a Directors’ Certificate certifying that the information contained in, and enclosed with, this notice is true, complete and accurate in all material respects and is not misleading.

Yours faithfully

.....

For and on behalf of  
the **Generator**

## Annex 2: OCP Directors' Certificate

### Directors' Certificate

[Company Name]

Unique Reference Number: [●]

(the "Company")

### [INVESTMENT CONTRACT/ CONTRACT FOR DIFFERENCE] – DIRECTORS' CERTIFICATE

To: **Low Carbon Contracts Company Ltd.** (the "CfD Counterparty")

I, [●], being a Director of the Company, refer to the [investment contract/contract for difference] entered into by the Company and the CfD Counterparty on [●] (the "Agreement"). Terms defined in or incorporated into the Agreement have the same meanings when used in this Certificate.

I hereby certify that, having made all due and careful enquiries, that the information contained in, and enclosed with the Operational CP Notice in respect of the Operational Condition Precedent at paragraph [●] of Part B of Schedule 1 of the Agreement is true, complete and accurate in all material respects and is not misleading, in each case by reference to the facts and circumstances then existing.

This Certificate is governed by and construed in accordance with English law.

.....  
Name: [●]

Position: Director

Dated: [●]

.....  
Name: [●]

Position: Director

Dated: [●]

**OR:**

.....  
Name: [●]

Position: Director

Dated: [●]

in the presence of:

.....  
Witness's name: [●]

Occupation: [●]

Address: [●]

Dated: [●]

## Annex 3: FMS Proposals Notice (CfD)

To: Low Carbon Contracts Company Limited (the “CfD Counterparty”)  
Fleetbank House 2-6 Salisbury Square  
London EC4Y 8JX

From: [●] (the “Generator”)  
**Unique reference number: [●]**

Dated: [●]

### [INVESTMENT CONTRACT/ CONTRACT FOR DIFFERENCE] – FMS PROPOSALS NOTICE

Dear Sirs,

1. We refer to the agreement dated [●] between you as the CfD Counterparty and us as the Generator (the “Agreement”). Terms and expressions defined in or incorporated into the Agreement have the same meaning when used in this notice.
2. We further refer you to paragraph 1.1 of Part A of Annex 7 (*FMS arrangements, Sustainability Criteria and RQM Calculation Methodology*).
3. This is an FMS Proposals Notice.
4. **[We consider that we (and the Facility) will or are reasonable likely to comply with the FMS Exemption Criteria.]**
5. Our Generator FMS Proposals [including our proposals in respect of FMS Exempted Procedures] are as follows: [●]

Yours faithfully

.....

For and on behalf of  
the **Generator**

## Annex 4: Table of examples of Supporting Information

Please note that the table is for guidance only and LCCC reserves the right to ask for additional information or reject information provided

Requirement	Expected Supporting Information	Comments
<b>Schedule 1, Part B, 2.1 (A):</b>		
<p>Written confirmation from the CfD Settlement Services Provider that:</p> <ul style="list-style-type: none"> <li>(i) it has received the CfD Settlement Required Information which is required from the Generator prior to the Start Date; and</li> <li>(ii) the Generator has in place the systems and processes which are necessary for the continued provision of the CfD Settlement Required Information;</li> </ul>	<p>EMR Settlement Limited (“EMRS”) is the appointed CfD Settlement Services Provider.</p> <p>A letter from EMRS confirming the items (i) and (ii) of Schedule 1, Part B, 2.1 (A) will be required.</p>	<p>Generator should liaise directly with EMRS with to obtain the required letter. EMRS can be contacted at:</p> <p><a href="mailto:contact@emrsettlement.co.uk">contact@emrsettlement.co.uk</a></p> <p>Information about EMRS processes generally can be found on the EMRS website, <a href="http://www.emrsettlement.co.uk">www.emrsettlement.co.uk</a></p> <p>The specific EMRS working practice document that sets out the process for obtaining the information required for this OCP can be found at the following link:</p> <p><a href="https://www.emrsettlement.co.uk/documents/2015/10/wp24-cfd-settlement-required-information.pdf">https://www.emrsettlement.co.uk/documents/2015/10/wp24-cfd-settlement-required-information.pdf</a></p>

Requirement	Expected Supporting Information	Comments
<b>Schedule 1, Part B, 2.1 (B):</b>		
Evidence, in form and content satisfactory to the CfD Counterparty, acting reasonably, that an Installed Capacity of not less than eighty per cent. (80%) of the Installed Capacity Estimate has been Commissioned.	The guidance documented will be updated in due course to include the relevant requirements for each specific Generation Technology	

Requirement	Expected Supporting Information	Comments
<b>Schedule 1, Part B, 2.1 (C):</b>		
<p>Evidence, in form and content satisfactory to the CfD Counterparty, acting reasonably, that the Generator is complying in full with the Metering Compliance Obligations.</p> <p>The Metering Compliance Obligations are set out in Condition 31.1 of the CfD as follows:</p> <ul style="list-style-type: none"> <li>(i) ensure that at all times the Facility Metering Equipment meets all applicable rules and standards provided for in the BSC;</li> <li>(ii) ensure that at all times: <ul style="list-style-type: none"> <li>a. the Facility Metering Equipment accurately records the BM Unit Metered Volume and</li> <li>b. where the facility is a Dual Scheme Facility, the Facility Metering Equipment accurately records all Imported Input Electricity in relation to the Generating Station</li> </ul> </li> <li>(iii) ensure that at all times the Facility Metering Equipment measures the input and output electricity referred to in Condition 31.1(B) separately from any other input and output electricity referred to therein; and</li> <li>(iv) investigate any fault or issue with the Facility Metering Equipment of which it is notified by the CfD Counterparty or required to investigate pursuant to the BSC</li> </ul>	<p>Please refer to Annex 5 for the supporting information required for this Operational Condition Precedent.</p>	<p>LCCC has entered into an agreement with <b>EMRS</b> and they act as our Management Service Provider for Metering related activities.</p> <p>You should still engage with and submit your information to LCCC but if you have any technical questions or are looking for more detailed technical guidance please email <a href="mailto:contact@emrsettlement.co.uk">contact@emrsettlement.co.uk</a>, copying in your LCCC Commercial Manager.</p>

Requirement	Expected Supporting Information	Comments
<b>Schedule 1, Part B, 2.1 (D):</b>		
<p>A date and time stamped copy of the electrical schematic diagram, certified as being correct and up to date by a director or company secretary of the Generator and showing the locations of the Facility Metering Equipment associated with all assets comprised within the Facility (including details of the type of BSC-approved metering and Communications Equipment installed in compliance with the Metering Compliance Obligation and any relevant MSID/MPAN).</p>	<p>Please refer to Annex 5 for the supporting information required for this Operational Condition Precedent.</p>	<p>LCCC has entered into an agreement with <b>EMRS</b> and they act as our Management Service Provider for Metering related activities.</p> <p>You should still engage with and submit your information to LCCC but if you have any technical questions or are looking for more detailed technical guidance please email <a href="mailto:contact@emrsettlement.co.uk">contact@emrsettlement.co.uk</a>, copying in your LCCC Commercial Manager.</p>
<b>Schedule 1, Part B, 2.1 (E):</b>		
<p>Evidence, in form and content satisfactory to the CfD Counterparty, acting reasonably, that all Communications Equipment relating to the Facility Metering Equipment has been satisfactorily installed, commissioned, configured, operational, maintained and tested and is fully compliant with the BSC</p>	<p>Please refer to Annex 5 for the supporting information required for this Operational Condition Precedent.</p>	<p>LCCC has entered into an agreement with <b>EMRS</b> and they act as our Management Service Provider for Metering related activities.</p> <p>You should still engage with and submit your information to LCCC but if you have any technical questions or are looking for more detailed technical guidance please email <a href="mailto:contact@emrsettlement.co.uk">contact@emrsettlement.co.uk</a>, copying in your LCCC Commercial Manager.</p>

Requirement	Expected Supporting Information	Comments
<b>Schedule 1, Part B, 2.2:</b>		
<p>Delivery to the CfD Counterparty of a copy of:</p> <ul style="list-style-type: none"> <li>(i) a valid CHPQA Certificate; and</li> <li>(ii) a valid CHPQA Guidance Note 44 Certificate,</li> </ul> <p>each certified as being correct and up to date by a director of the Generator</p>	<ul style="list-style-type: none"> <li>• Valid CHPQA certificate issued by the CHPQA administrator pursuant to CHPQA</li> <li>• Valid CHPQA Guidance Note 44 Certificate issued by the CHPQA administrator, pursuant to the CHPQA Guidance Note 44 as published by BEIS at the Agreement Date in relation to the CHPQA</li> </ul>	<p>Generators will need to refer to the CHPQA administrator / Managed by Ricardo-AEA</p>
<b>Schedule 1, Part B, 2.3:</b>		
<p>The FMS Procedures having been documented and agreed between the CfD Counterparty and the Generator.</p> <p>Please note that paragraph 1.5(B) of Part A (FMS Arrangements) of Annex 7 provides that:</p> <p>The Further Condition Precedent set out in Paragraph 2.3 of Part B of Schedule 1 (Conditions Precedent) shall be deemed to have been fulfilled (and as such Conditions 3.8 to 3.13 shall not apply in respect thereto)</p>	<ul style="list-style-type: none"> <li>• Generator to submit FMS Proposal Notice, Directors Certificate and relevant FMS documentation to LCCC.</li> <li>• Paragraph 1 of Part A (FMS Arrangements) of Annex 7 sets out the requirements for the FMS Proposals Notice.</li> </ul>	<p>Ofgem acts as our agent for FMS purposes. The Generator should liaise directly with Ofgem to agree the FMS Proposals.</p> <p>Please contact your LCCC Commercial Manager to arrange for contact with Ofgem for FMS related work.</p>

Requirement	Expected Supporting Information	Comments
<b>Schedule 1, Part B, 2.4:</b>		
<p>The Generator having given the CfD Counterparty not less than three (3) months' notice of the intended Start Date (provided that this paragraph 2.4 shall apply to the Contract for Difference only if the Generator is an Embedded Generator).</p>	<p>Intended Start Date notice, dated at least 3 months prior to the intended Start Date</p>	<p>Note that this OCP only applies for Embedded generators</p>
<b>Schedule 1, Part B, 2.5:</b>		
<p>The Generator having notified the CfD Counterparty of the counterparty with which it has entered into a Market Supply Agreement and provided a duly executed copy of the same, certified as being correct and up to date by a director or company secretary of the Generator (provided that this paragraph 2.5 shall apply to the Contract for Difference only if the Generator is an Embedded Generator).</p>	<p>Copy of the executed Market Supply Agreement.</p>	<p>Note that this OCP only applies for Embedded generators</p>

Requirement	Expected Supporting Information	Comments
<b>Further Condition Precedent, Clause 5.4 of the Agreement:</b>		
<p>For Projects that are connected directly to the Transmission System the following applies: Delivery to the CfD Counterparty of a certified copy of the Interim Operational Notification issued by the Transmission System Operator under the Grid Code.</p> <p>For Projects that are <u>not</u> connected directly to the Transmission System the following applies: (i) written confirmation from the relevant Licenced Distributor or, if no such confirmation is applicable, evidence (in form and content reasonable satisfactory to the CfD Counterparty) that the Distribution Code compliance process for connection to and export to the Distribution System has been satisfied, and (ii) if applicable, the Interim Operational Notification issued by the Transmission System Operator under the Grid Code.</p>	<p>As applicable,</p> <ul style="list-style-type: none"> <li>• Copy Interim Operational Notice issued by National Grid</li> </ul> <p>and/or</p> <ul style="list-style-type: none"> <li>• Written confirmation from Licenced Distributor (i.e. Distribution Network Operator (DNO)) that the Distribution Code compliance process for connection to and export to the Distribution System has been satisfied</li> </ul> <p>or</p> <ul style="list-style-type: none"> <li>• Equivalent confirmation of Distribution Code Compliance.</li> </ul>	<p>If the Technology is Offshore Wind the following applies: For the purpose of this paragraph, pursuant to the “Offshore Electricity Transmission: Decision on implementation of the Generator Commissioning Clause in the Energy Act 2013” dated 2 April 2014, the reference in this paragraph to the Interim Operational Notification shall be to ION B.</p>

## Annex 5: Supporting Documentation required for metering related OCPs:

### Paragraphs 2.1(C), (D) and (E) of Part B (Further Conditions Precedent) of Schedule 1 (Conditions Precedent) to the Agreement

The supporting information required will differ depending on whether the BSC Settlement Metering System is registered in the Central Meter Registration Service (CMRS) or Supplier Meter Registration Service (SMRS).

#### **1 For paragraph 2.1 (C) of Part B of Schedule 1 - Metering Compliance Obligations, which are set out at Condition 31.1(A) to (D):**

##### **In relation to Conditions 31.1 (A) to (C); For a BSC Settlement Metering System registered in **CMRS**:**

- Electrical Schematic (Single Line Diagram) including showing the location of the Facility Metering Equipment;
- Document BSCP02/4.4 (Proving Test Requirements for CVA Systems) from the Balancing and Settlement Code – this is to confirm that the Metering System has been installed and commissioned;
- Document BSCP20/4.3 (Registration of Metering Systems for CVA) from the Balancing and Settlement Code – this is to confirm the registration of Meter Technical Details;
- Commissioning Test Results for the Metering Equipment;
- Calibration Certificates (Manufacturers Certificates for Meters and Current/Voltage Transformers);
- List of meters that comprise the Facility Metering Equipment;
- Confirmation from a qualified person that the meters included on the list constitutes the entirety of the Facility Metering Equipment.

##### **In relation to Conditions 31.1 (A) to (C); For a BSC Settlement Metering System registered in **SMRS**:**

- Electrical Schematic (Single Line Diagram) showing the location of the Facility Metering Equipment;
- D0268 Data Flow – Half Hourly Meter Technical Details;
- Commissioning Test Results for the Metering Equipment;
- Proving Test Results;
- Calibration Certificates (Manufacturers Certificates for Meters and Current/Voltage Transformers);
- List of meters that comprise the Facility Metering Equipment;
- Confirmation from a qualified person that the meters included on the list constitutes the entirety of the Facility Metering Equipment.

**In relation to Condition 31.1 (D); For a BSC Settlement Metering System in CMRS:**

- Confirmation that no outstanding CDCA-IO38s for MSID (MPAN) from document BSCP06 (CVA Meter Operations for Metering Systems Registered in CMRS) from the Balancing and Settlement Code.

**In relation to Condition 31.1 (D); For a BSC Settlement Metering System registered in SMRS:**

- Confirmation that no outstanding D0001 Data Flow – Request Metering System Investigation.

**2 For paragraph 2.1 (D) of Part B of Schedule 1 - Electrical Schematic Diagram:**

**For a BSC Settlement Metering System registered in CMRS:**

- Electrical Schematic (Single Line Diagram) showing the location of the Facility Metering Equipment;
- Details of BSC approved metering, including the Outstation Type, the Communications Type and the MSID (MPAN) (can be an annex to the Electrical Schematic or alternatively the details to be included in the Electrical Schematic);
- Confirmation from a qualified person that the meters included on the Electrical Schematic constitutes the entirety of the Facility Metering Equipment;
- Document BSCP20/4.3 (Registration of Metering Systems for CVA) from the Balancing and Settlement Code – this is to confirm the registration of Meter Technical Details; for details of BSC approved metering it will include the Outstation Type, the Communications Type and the MSID (MPAN).

**For a BSC Settlement Metering System registered in SMRS:**

- Electrical Schematic (Single Line Diagram) showing the location of the Facility Metering Equipment;
- Details of BSC approved metering, including the Outstation Type, the Communications Type and the MPAN (can be an annex to the Electrical Schematic or alternatively the details to be included in the Electrical Schematic);
- Confirmation from a qualified person that the meters included on the Electrical Schematic constitutes the entirety of the Facility Metering Equipment;
- D0268 Data Flow – Half Hourly Meter Technical Details.

**3. For paragraph 2.1 (E) of Part B of Schedule 1 - Communications Equipment:**

**For a BSC Settlement Metering System registered in **CMRS**:**

- list of Communications Equipment relating to the Facility Metering Equipment;
- confirmation from a qualified person that the Communications Equipment included on the list comprises all of the relevant Communications Equipment for the Facility Metering Equipment;
- Document BSCP02/4.2 & 4.3 (Proving Test Requirements for CVA Systems) from the Balancing and Settlement Code – this is to confirm that the Proving Test has been completed and the CDCA can dial the meters; and
- Document BSCP20/4.3 (Registration of Metering Systems for CVA) from the Balancing and Settlement Code – this is to confirm the registration of Meter Technical Details.

**and, if it is an existing generating station:**

- Confirmation that no outstanding Meter Advanced Reconciliation Error Result issue to be resolved from document BSCP05 (Meter Advanced Reconciliation for CVA) from the Balancing and Settlement Code

**For a BSC Settlement Metering System registered in **SMRS**:**

- list of Communications Equipment relating to the Facility Metering Equipment;
- confirmation from a qualified person that the Communications Equipment included on the list does comprises all of the relevant Communications Equipment for the Facility Metering Equipment;
- D0268 Data Flow – Half Hourly Meter Technical Details;
- Proving Test results for the Communications Equipment;

**and, if it is an existing generating station:**

- Confirmation that no outstanding D0001 Data Flow – Request Metering System Investigation